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Packet No.: ROC920010018US1

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William J. Anderl, et al. : By the Examiner: Unknown
Serial No.: 09/809,699 : Group Art Unit: 2874
Filed: March 15, 2001
Title: COMPACT OPTICAL TRANCEIVERS
INCLUDING THERMAL
DISTRIBUTING AND
ELECTROMAGNETIC SHIELDING
SYSTEMS AND METHODS THEREOF

Date: June 12, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

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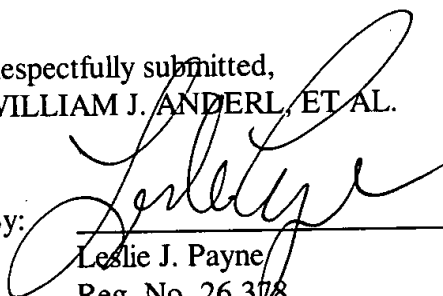
Doc. Ref. No.: ROC920010018US1

PATENT

A copy of each of these items on PTO-1449 is supplied herewith.

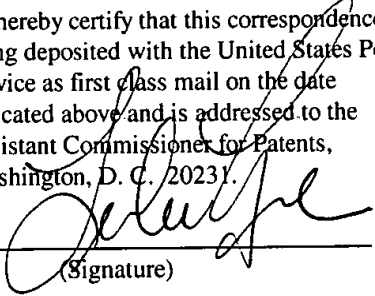
Respectfully submitted,
WILLIAM J. ANDERL, ET AL.

By:


Leslie J. Payne
Reg. No. 26,308

CERTIFICATE OF MAILING UNDER
37 C.F.R. 1.8(a)
Date of Deposit: June 12, 2001

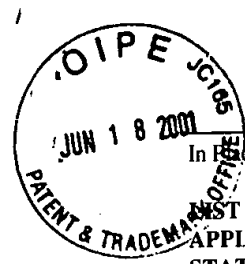
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Leslie J. Payne
(Attorney Name)

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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No.: 09/809,699
Applicant: William J. Anderl, et al
Filing Date: March 15, 2001
Group: 2874
Atty. Docket No.: ROC920010018US1

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
___ AAA						
___ ABA						
___ ACA						
___ ADA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation <u>Yes</u> ___ <u>No</u>
___ AKA						
___ ALA						
___ AMA						
___ ANA						
___ AOA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

- ___ APA United States Serial No. 09/809,531 (Atty. Docket No. ROC920010015US1), entitled:
TECHNIQUE AND APPARATUS FOR COMPENSATING FOR VARIABLE
LENGTHS OF TERMINATED OPTICAL FIBERS IN CONFINED SPACES;
- ___ AQA United States Serial No. 09/809,650 (Atty. Docket No. ROC920010020US1), entitled:
AN OPTICAL FIBER COUPLER AND AN OPTICAL FIBER COUPLER
INCORPORATED WITHIN A TRANSCEIVER MODULE;
- ___ ARA United States Serial No. 09/809,127 (Atty. Docket No. ROC920010054US1), entitled:
HIGH FREQUENCY MATCHING METHOD AND SILICON OPTICAL BENCH
EMPLOYING HIGH FREQUENCY MATCHING NETWORKS;
- ___ ASA
___ ATA
___ AUA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP/609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.